



DISCLOSURE FORM - INDIVIDUAL

(Complete in Duplicate. Forward one (1) copy with the required attachments to the financial Services Unit)

GENERAL INSTRUCTIONS

TYPE OR PRINT AS LEGIBLY AS POSSIBLE. AN ANSWER TO EVERY QUESTION IS REQUIRED. IF A QUESTION DOES NOT APPLY TO YOU INDICATE WITH "N/A". IF SPACE IS INSUFFICIENT, USE A SEPARATE SHEET.

ALL INDIVIDUALS ARE ADVISED THAT THIS PERSONAL HISTORY RECORD IS AN OFFICIAL DOCUMENT AND ANY MISREPRESENTATION OR FAILURE TO REVEAL INFORMATION REQUESTED MAY BE DEEMED TO BE SUFFICIENT CAUSE FOR THE REFUSAL OR REVOCATION OF HIS/HER APPLICATION/LICENCE.

**Place
Photo
Here**

LAST NAME¹	Ulbricht
FIRST NAME	Ross
MIDDLE NAME(S)	William
ALIASES (Maiden or other name changes - Include date of change)	
SOCIAL SECURITY NO. or NATIONAL ID NO.	628-16- ████████

¹ Provide a copy of your birth certificate, passport, Social Security/National ID, and Driver's license.

Additional Personal Information:

Date of Birth 03/1984 Place of Birth Austin, TX
Country of Citizenship USA Passport No. 488
Mother's Maiden Name LaCava
Drivers License No. 18 State Issued Texas
Color of Eye Hazel Color of Hair Brown Complexion White
Weight 100 lbs Height 6'2" Build thin
Distinguishing Marks none

Current Residence Address: Date Since 05/2012
Street Drive Apt/House No. 5602
City Austin State Texas
Country USA Zip Code 78749

Communication Numbers:

Residence Telephone () Cell Phone (717)650-
Business Telephone () Fax No. ()
Email Address rossulbright@gmail.com

RESIDENCES (List all residences (other than current) you have had for the last ten (10) years)

1. From 09/2009 To 05/16/2012
Street Drive Apt/House No.
City Austin State Texas
Country USA Zip Code 78703

2. From 07/2006 To 09/2009
Street Drive Apt/House No.
City State College State Pennsylvania
Country USA Zip Code 16801

3. From 05/2002 To 07/2006

Name	Birth Date	Address

Family Information

Children and Dependents: List all children, including stepchildren and adopted children and provide the following:

Name	Birth Date	Address	Occupation

Parents: List parents, parents-in-law, or legal guardian and provided the following:

Name	Birth Date	Address	Occupation
Father: K [REDACTED] Ulbricht	[REDACTED] 1949	[REDACTED] Austin, TX 78749	Retired
Mother: L [REDACTED] Ulbricht	[REDACTED] 1949	[REDACTED] Austin, TX 78749	Retired
Father-in-Law:			
Mother-in-Law:			

Brothers and Sisters: List all brothers and sisters and provide the following:

Name	Birth Date	Address	Occupation
C [REDACTED] Ulbricht	[REDACTED] 1979	[REDACTED] Sydney, NSW	Sales

EDUCATION

List all academic and trade schools attended:

	School Name	Address	Dates Attended	Graduated
High School	Westlake High School	4100 Westbank Dr. Austin, TX 78746	08/1998 - 05/2002	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
College/University	University of Texas at Dallas	800 Campbell Rd. Richardson, TX 75080	08/2002 - 05/2006	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
College/University	Pennsylvania State University	417 Old Main University Park, PA 16802	07/2006 - 09/2009	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
College/University				Yes <input type="checkbox"/> No <input type="checkbox"/>
Trade				Yes <input type="checkbox"/> No <input type="checkbox"/>

List all Academic Degrees Conferred:

Degree	College/University	Date
B. S. Physics	UT Dallas	05/2006
M. S. Materials Science	Penn State University	05/2009

EMPLOYMENT HISTORY: Beginning with your current employment, list your work history, all business with which you have been involved, and/or all periods of unemployment for the past 10 years. Also, list all corporations, partnerships or any other business ventures with which your have been associated as an officer, director, stockholder, or related capacity.

(If self-employed provide the name, address and nature of Business)

Note: May we contact your current employer? Yes No

Name of Employer	Address of Employer (Include Tel. No.)	Position Held	Dates (Month and Year)	Reason for Leaving
Self (IT consulting)	██████████ Austin, TX 78749 (717) 650-██████████	N/A Supervisor's Name: N/A	From To 03/2011 - present	current
Good Wagon Books	██████████ Austin, TX 78754 (512) 522-██████████	owner/manager Supervisor's Name: N/A	From To 11/2009 - 05/2011	Business was liquidated
M██████████ Research Institute	██████████ University Park, PA 16802	Doctoral Researcher Supervisor's Name: Darrell S██████████	From To 07/2006 - 09/2009	Employer moved I wanted to become an entrepreneur
U██████████ Institute	██████████ Richardson, TX 75080	Research Assistant Supervisor's Name: Anvar Z██████████	From To 02/2004 -05/2006	Moved to Pennsylvania for Grad School

MILITARY INFORMATION

Have you ever served in any armed forces?
(If yes, answer the following questions)

Yes³ No

Branch _____

Date of entry active service _____

Date of separation _____ Type of Discharge _____

Rating at separation _____ Serial Number _____

³ Provide a copy of your USA military record, (DD214) or discharge papers from country of military service

While in the Military service were you ever arrested for an offense, which resulted in summary action, a trial, or special or general court martial? Yes No (If yes, furnish details.)

ARRESTS, DETENTIONS, AND LITIGATIONS (Include those arrests in which you were not convicted.)

Have you ever been arrested, detained, charged, indicted, or summoned to answer for any criminal offense or violation for any reason whatsoever, regardless of the disposition of the event? (Except Minor traffic citations.) Have you ever testified before a grand jury or investigative hearing or probe? If so give details. List all cases without exception.

No

Have you ever been subpoenaed to appear to testify before a federal, state, or county grand jury, board or commission? Yes No (If yes give details)

Has a criminal indictment, information, or complaint ever been returned against you, but for which you were not arrested or in which you were named as an un-indicted co-party?

Yes No If yes, furnish details.

Have you ever received a pardon for any criminal offense? Yes No
If yes, note Date, City, County, State and Country.

Have you ever had a civil or criminal record expunged or sealed by a court order?

Yes No If yes, give details.

Have you, as an individual, or as an owner, partner, director or officer of any partnership, corporation or other entity, ever been a party to a lawsuit as either a plaintiff or defendant? (Other than divorces). Yes No

If yes, give details. List all cases without exception, including bankruptcies:

Plaintiff/Defendant	Court & Case No.	City/County/State Country	Disposition

CHARACTER REFERENCES

Complete the following tables by listing three (3) character references who have known you for five (5) years or more. DO NOT include relatives, present employer, or employees:

First Name/Middle Initial Alden L	Last Name S [REDACTED]	Years Known 20
Address	City/State/Zip Code	Occupation
Employer	Work Phone	Home Phone

First Name/Middle Initial Mirza A	Last Name S [REDACTED]	Years Known 5
Address	City/State/Zip Code	Occupation
Employer	Work Phone	Home Phone

First Name/Middle Initial Rene J	Last Name F [REDACTED]	Years Known 17
Address	City/State/Zip Code	Occupation
Employer	Work Phone	Home Phone

Have you ever held a privileged or professional license in any state, including but not limited to the following:

- | | | | |
|--------------------------------|--------------------------|---------------------------|--------------------------|
| Liquor | <input type="checkbox"/> | Boxing Promoter | <input type="checkbox"/> |
| Real Estate Broker or Salesman | <input type="checkbox"/> | Race Horse/Race Dog Owner | <input type="checkbox"/> |
| Accountant | <input type="checkbox"/> | Jockey | <input type="checkbox"/> |
| Lawyer | <input type="checkbox"/> | Trainer or Manager | <input type="checkbox"/> |

Doctor Securities Dealer
Casino Operator

If yes to the previous question, state type of license, where held, years held, and the nature of any disciplinary actions taken against you with respect to these licenses.

Have you ever applied for citizenship of any other country? Yes No
(If yes give details)

Have you ever been the subject of any order, judgment or decree of any federal or state authority barring, suspending, or otherwise limiting your right to engage in any professional or business practice or activity? Yes No
(If yes give details)

I certify that the facts contained in this disclosure are true and complete to the best of my knowledge and I further understand that any false statements on this form shall be grounds for rejection.

I authorize investigation of all statements contained herein and the references and employers listed above to give you any and all information concerning my previous employment and any pertinent information they may have, personal or otherwise, and release the company conducting the investigation and the Government of Dominica from all liability for any damage that may result from utilization of such information.

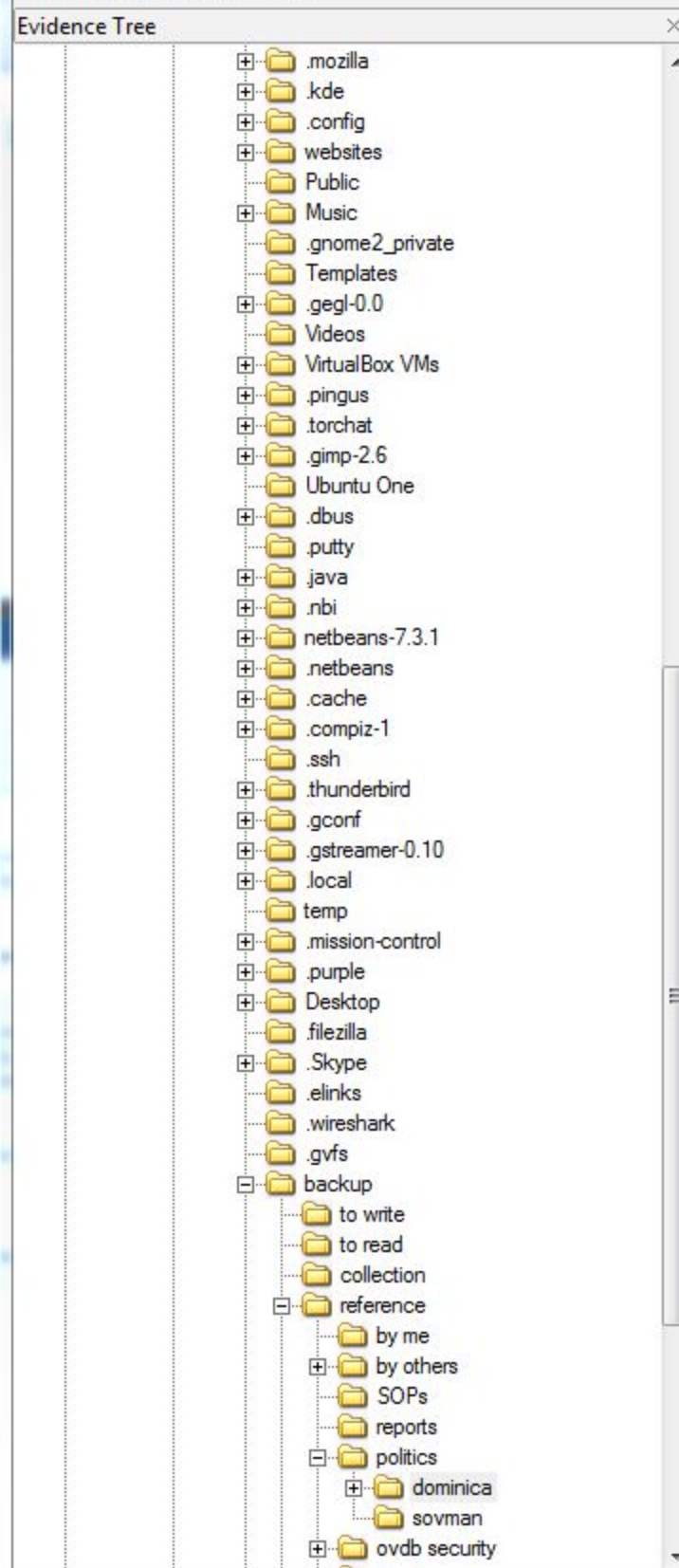
SIGNATURE _____ **DATE** _____

Subscribed and sworn to before me this _____ day of _____, 200 .

Notary Public

Commission Expiration Date

SEAL



File List

Name	Size	Type	Date Modified
accounting	4 KB	Directory	9/5/2012 1:10:48 AM
other	4 KB	Directory	7/16/2012 5:49:57 PM
1-783-applicant-information-form-121511_complete.pdf	37 KB	Regular File	5/1/2012 11:10:42 PM
AFFIDAVIT OF SOURCE OF FUNDS.docx	11 KB	Regular File	5/2/2012 9:47:33 PM
applicant_fd_258.pdf	73 KB	Regular File	5/2/2012 10:21:09 PM
Bishops_ConsentForm.doc	266 KB	Regular File	7/28/2012 3:15:44 PM
credit_card_payment_complete.pdf	144 KB	Regular File	5/1/2012 11:12:45 PM
disclosure_form.pdf	44 KB	Regular File	7/12/2012 7:21:35 PM
disclosure_form_partial.pdf	160 KB	Regular File	5/1/2012 10:31:31 PM
Documents required for Due diligence.doc	28 KB	Regular File	5/3/2012 3:53:09 PM
dominica visa list 1st dec 2011.pdf	27 KB	Regular File	3/15/2012 1:20:07 AM
dominica-economic-citizenship 2011.pdf	302 KB	Regular File	3/15/2012 1:20:34 AM
final_checklist.pdf	23 KB	Regular File	4/5/2012 2:51:02 AM
form_12.pdf	289 KB	Regular File	5/1/2012 11:36:15 PM
FSU Comfort letter- Ross Ulbricht.pdf	24 KB	Regular File	11/3/2012 7:36:34 PM
medical_questionnaire.pdf	42 KB	Regular File	4/5/2012 2:50:57 AM
RossUlbrichtResume.doc	25 KB	Regular File	7/16/2012 6:05:24 PM
RossUlbrichtResume.pdf	58 KB	Regular File	7/16/2012 6:05:49 PM
Sample questions for interview.doc	84 KB	Regular File	4/5/2012 12:44:57 AM
Statutory Declaration[1].doc	13 KB	Regular File	10/4/2012 7:37:57 PM
Wire-Stowe & Co.docx	4 KB	Regular File	7/19/2012 10:02:34 PM

Properties

Properties

General

Name	disclosure_form_partial.pdf
File Class	Regular File
File Size	163,129
Physical Size	163,840
Start Cluster	14,765,551
Date Accessed	10/1/2013 11:00:03 PM
Date Created	5/8/2012 8:42:50 PM
Date Modified	5/1/2012 10:31:31 PM
Actual File	True

UNIX Security Attributes

Unix Permissions	-rw-rw-r--
UID	1,000
GID	1,000

Ext2/3/4 Information

Inode Number	3,671,931
Inode Change Time	7/12/2013 4:38:20 AM

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%%BeginDefaults
%%PageMedia: plain
%%EndDefaults
%%BeginProlog

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% PSEditWidget: TEXT (Ross)
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